KENTUCKY DRUG COURT

Addiction Severity Index



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Version 4.1

Kentucky Drug Court Addiction Severity Index (KDC-ASI)

Created by

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Introduction

This manual is for the Kentucky Drug Court Addiction Severity Index (KDC-ASI). The KDC-ASI assessment is a completely automated Visual Basic Program consisting of nine different sections:

- Section 1: Locator Information
- Section 2: Demographic Information
- Section 3: Medical Health Information
- Section 4: Education and Employment Information
- Section 5: Drug and Alcohol Information
- Section 6: Criminal Justice History Information
- Section 7: Family/Social History Information
- Section 8: Mental Health Information
- Section 9: Interviewer Ratings

The assessment takes approximately 45 minutes to complete. Upon completion of the assessment, the program generates a report summarizing that particular client's responses. The report can be found in the last section of the manual.

The program and report were designed for the Kentucky Drug Court Program for three main purposes:

- 1) To assist in client eligibility assessment
- 2) To aid in designing individual treatment plans for new clients
- 3) To measure client progress upon exiting the program

The KDC-ASI program was designed as a component of the Kentucky Drug Court Management Information System (MIS). The data collected using the KDC-ASI can be exported to the Kentucky Drug Court MIS database. In this case the ASI will begin a new client file in the overall Drug Court MIS. However, the KDC-ASI can also be used by itself.

The KDC-ASI was adapted from McLellan, A., Luborsky, L., O'Brien, C., & Woody, G. (1980) An improved diagnostic instrument for substance abuse patients: The addiction severity index. Journal of Nervous and Mental Diseases, 168, 26-33. McLellan, A., Kuchner, H., Metzger, D., Peters, F., Smith, I., Grissom, G., Pettinati, H., & Argeriou, M. (1992). The fifth edition of the addiction severity index. Journal of Substance Abuse Treatment, 9, 199-213. Brown, E., Frank, D., & Friedman, A. (1997). Supplementary Administration Manual for the Expanded Female Version of the Addiction Severity Index (ASI) Instrument The ASI-F. US Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, Center for Substance Abuse Treatment. DHHS Publication Number 96-8056.

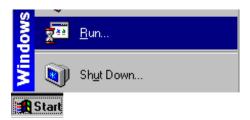
Installation Guide

Installation

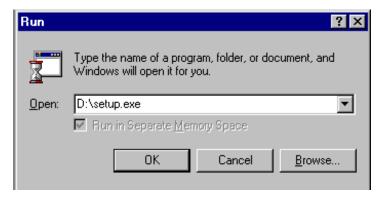
The KDC-ASI can be installed from both a CD or 3.5" disks. Where possible CD installation is recommended.

Installation From CD

Insert the KDC-ASI Compact Disk into the CD drive. Then go to the Windows Start button and select Run.



Selecting run will bring up a Run widow. Here type in your CD-Rom Drive:\setup.exe. and follow the instructions.



Run...

Shut Down...

Installation for Floppy Disk

Insert Disk 1 into the disk drive. Then go to the Windows Start button and select Run

Selecting run will bring up a Run widow.

Here type in your 3.5 Drive:\setup.exe. and follow the instructions. It is important to note that if your computer must be restarted during this process. The user should resume the installation with disk one and start from the beginning after the computer reboots.

Opening the KDC-ASI

After the program is installed you will need to start the program.



To start the program, click on the to the Windows Start button.

This will bring up your computers start menu.



From there go to programs and under programs select the KDC ASI 4.0 folder.



After selecting the KDC ASI 4.0 folder double click on the KDC-ASI icon.



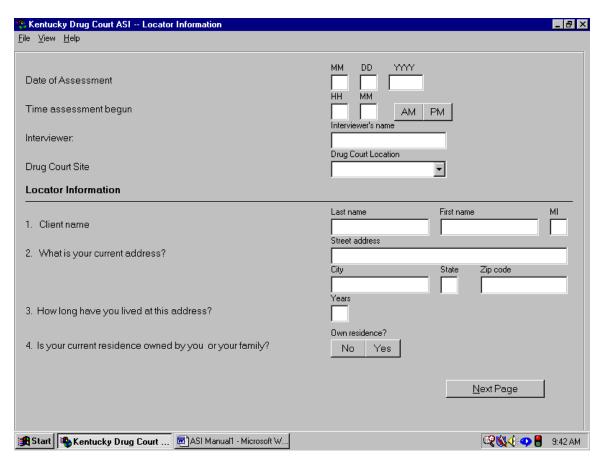
Using the KDC-ASI

The following pages review the specific interviewer instructions for each of the nine sections included in the KDC-ASI.

Section 1: Locator Information

This section compiles extensive locator information for the client or prospective client. The goal of this section is to gather as much locator information as possible to help in contacting this individual in the future.

The first few lines ask about where the client is currently living, how long the client has lived at that residence, and whether they or their family owns the residence.



Question 5 in this section asks for their BEST mailing address. This may or may not be the same address the client listed as their current residence.



Question 6 asks about phone numbers to reach the client and names of individuals who may answer at those numbers. Here probing the individual for at least two names for each phone number may, again, provide helpful information when trying to contact the individual in the future.

Question 7 through question 9 focuses on employment contact information. If the person is not currently employed the computer will automatically skip to Question 10.

The goal of this section is to gather as much locator information as possible to help in contacting this individual in the future.

Questions 10 and 11 ask about contact information for the individual's mother or grandmother or other close relative.

Research suggests that a mother or grandmother is most likely to always know where an individual is. In addition, there is room for information about another person who may know where the individual is at all times.

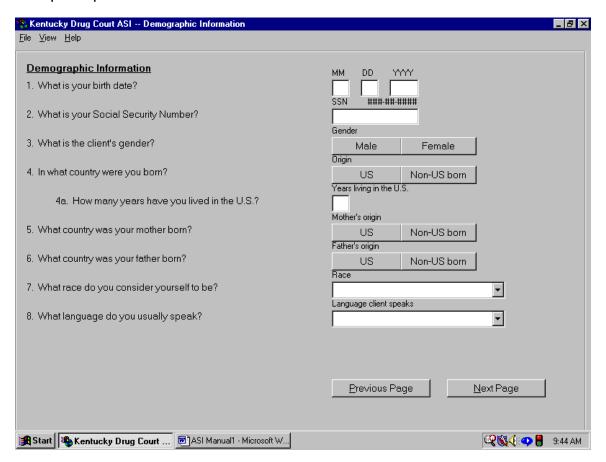
The goal of these questions are to get names and contact information for individuals who will always be in contact with or always know how to reach this prospective client.

Question 13 provides room for the interviewer to document any additional information or comments regarding locator information. These comments will be printed out on the KDC-ASI client report.

Section 2: Demographic Information

The purpose of the Demographic Information section is to capture basic information about the client. It is important to note here that there are two variations of this section, one for males and one for females. The program will automatically select the correct form for the user based on what gender was specified on Question 4 of this section.

The first two questions in this section captures key individual information date of birth and the client's social security number which is used by the database as a key for linking and identifying the client information throughout the course of their participation.



When entering the client social security number a message prompts the user to make sure to verify the social security number with a social security cared if possible. It is very important to be accurate when recording the social security number.



It is very important to be accurate when recording the social security number. If possible please verify the social security number with a social security card or other official documentation.

This section also asks about basic demographic information such as race, ethnic background, and religious preference.

In addition, this section asks about whether the client has been in a controlled environment. If the client has been in a controlled environment, it is important to note that questions that ask about different behaviors in the past 30 days should refer to the past 30 days on the street. Please see example below for classification.

Example:

For example, lets say a prospective client "John" has been incarcerated for 15 days out of the last 30 days. When you ask John about his cocaine use in the past 30 days, he tells you he has used cocaine on 7 out of the past 30 days (it is unclear whether this client only used 7 days out of a possible 30 or if he used 7 days because half of the month he was incarcerated and did not have access to cocaine, which would be 7 days out of 15).

However, if you ask John how many days in the past 30 days while he was on the street (i.e., not incarcerated or not in a controlled environment), he tells you he used 14 days out of the past 30 days on the street. These answers are very different depending on the way the questions are asked. Since the goal of this assessment is to find out about drug use patterns—it is important to assess drug and alcohol use while a client is NOT in a controlled environment.

After assessing whether the client has been in a controlled environment, the final questions in this section ask about the client's children. Extensive information about client children is asked, including;

- Gender
- Birth date
- Current living status
- Legal custody status
- Number of days, on average, the individual sees the child
- Child support

It is important that client children information is entered uniformly across all the Drug Court programs—thus, always

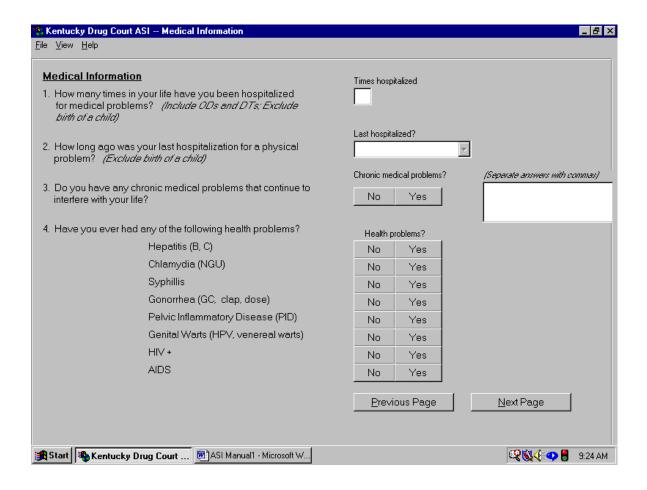
start with the client's youngest child and progress in ascending by age (i.e., start with the youngest child and work progressively until the oldest child information is recorded last). This section also asks about other children currently in this individuals care.

It is very important here to start the questions about the children with the YOUNGEST child and progress in ascending order by age (e.g. if a client has four children the order should be 4 year old, 7 year old, 10 year old, and 14 year old).

This section provides space for interviewer comments regarding demographic and child information about the client. These comments will be printed out on the KDC-ASI report.

Section 3: Medical Health Information

The purpose of the medical health information section is to assess basic medical status. The first few questions ask about hospitalization. Question 3 asks about chronic medical problems that continue to interfere with the client's life. Here it is important to record what problems they have with a comma separating each different problem. These problems will then be incorporated into the MIS database as separate variables. In addition, these problems will be printed out on the KDC-ASI client history report.



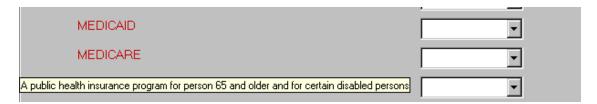
It is important to remember to record openended questions (e.g., Questions 3, 6, & 7) with a comma separating each different problem. These problems will then be incorporated into the MIS database as separate variables. In addition, these problems will be printed out on the KDC-ASI client history report.

The next few questions refer to both past and current health status. Question 6 and asks for specific kinds of medication the client is currently taking. Question 7 asks specifically what physical disabilities they are receiving a pension for.

This section also asks about cigarette smoking, sleep patterns, and health insurance.



Question 12 of this section asks the client about their health insurance. The specific descriptions of these insurance types appear in red when you move your cursor on the top of them.



The final questions in this section ask the client for his or her assessment of the seriousness of their medical health problems.

The interviewer is then asked for their rating of the seriousness of the client's medical health status.

There is also space for interviewer comments regarding medical information. These comments will be printed out on the KDC-ASI report.



Section 4: Education and Employment Information

Question 1 and 2 assess the client's formal education and technical training history. Question 3 asks about whether the client has a profession, trade, or skill. If the client does have a profession, trade, or skill, then the question asks for specific information regarding the profession, trade, or skill. This will be printed out on the report.

Question 4 asks whether the client has a valid drivers license. If the client does not have a valid drivers license, then ask and record why they do not have one. Again, when typing this into the program keep in mind it will print out on the report.

If the client does have a valid drivers license please ask to see it and carefully and accurately record the drivers license number. This information may be useful when trying to locate the client.





Questions 6 through 12 ask about the client's current employment or will refer to the last job they had. This information includes how long they were employed, or have been at their job, whether the job is full time or part-time, and what type of job it is (see Question 9). Try to classify the type of employment as accurately into the categories as possible. The employment categories are as follows:

- **Professional and technical** (accountant, architect, engineer, lawyer or judge, scientist, doctor, registered nurse, teacher, social worker, writer, entertainer, draftsperson)
- Manager and administrator (office manager, sales manager, school administrator, government official, small business owner)
- Sales (sales representative, insurance agent, real estate broker, bond sales person, sales clerk or other sales people, cashier)
- Clerical or office worker (bank teller, bookkeeper, secretary, file clerk, typist, postal clerk or carrier, ticket agent)
- Craft and kindred (baker, carpenter, electrician, bricklayer, mechanic, machinist, tool and die maker, telephone installer)
- Operative (assembler, checker, gas station attendant, meat cutter, packer, laundry and dry-cleaning operator, miner, welder, garage worker).
- Transportation equipment operative (bus or cab driver, chauffeur, truck driver, delivery person)
- Non-farm laborer (construction, freight handler, sanitation worker, car washer, yard worker, odd-job person)
- Private household worker (maid, butler, cook)
- Service worker (cook, waiter, barber, janitor, practical nurse, caretaker for children, day care worker, beautician, police officer, firefighter)
- Farmer or farm manager.
- Farm laborer (field boss, picker)
- Military service
- Other
- Never had a job



If the client is not currently working, Question 11 asks when the client left the job and Question 12 asks why they left. This information may be useful when trying to place the client in employment later.

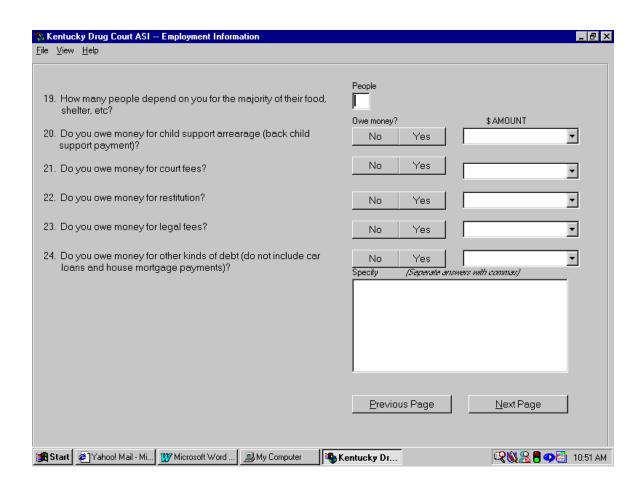
The next set of questions (Questions 13 through 24) asks about the client's financial status. First, the questions try to assess whether the client is dependant on others and to what extent, and the amount and sources of income the client had in the past 30 days. In addition, the number of people that are dependant on the client is assessed in question 19.

Then, child support arrearage, court fees, restitution, legal fees and other kinds of debt are assessed. For question 24 it is important not to record debt such as car loans or house payments. This question is getting at any other kinds of debts they will need to pay as they go through the Drug Court program over and above a normal living debt.



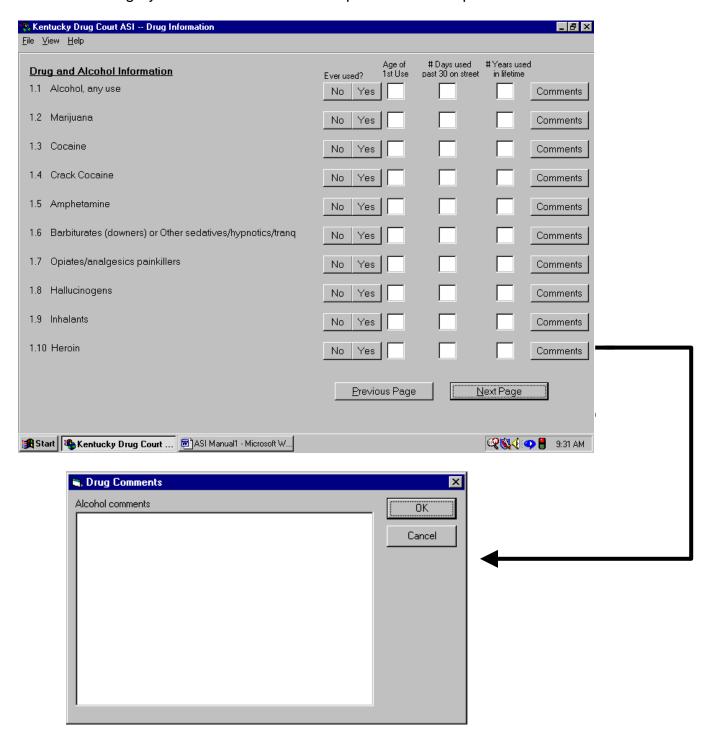


The final questions ask the client to assess how serious their employment problems are. The interviewer is also asked to provide a rating for this section and for comments that will be recorded in the client report.



Section 5: Drug and Alcohol Information

The first questions in this section ask about substance abuse history including whether the client had ever used, age of first use, days used in the past 30 days, and the number of years the client used the substance regularly in their lifetime. In addition, there is room to record comments for each specific substance category. These comments will be printed on the report.



Question 2 asks about what substance is the major substance of abuse for the client. Questions 3 and 4 ask about abstinence from the major substance of abuse. Question 5 asks how many times the client has had DTs or overdosed and Question 6 asks how many times in the last 30 days the client stayed up very late because of drug use.

Questions 7 through 14 ask about drug and alcohol treatment. These questions refer to both lifetime and past year treatment experiences.



The questions also ask about AA/NA experiences separately from the other treatment questions, 30 day treatment experiences for outpatient treatment and AA/NA is also assessed.

Question 15 asks how money the client spent on alcohol and drugs in the past 30 days.

Questions 16 through 20 ask for the clients assessment of the seriousness of their drug and alcohol problems.

Question 21 and 22 ask for the interviewer ratings of how much they believe the client needs help with their drug and alcohol problems and then question 23 asks for comments. These comments will be printed on the final report.





Section 6: Criminal Justice History Information

This section asks the client to self-report their criminal justice history. In no way should this section serve as a substitute for a Courtnet criminal history report.

In no way should this section serve as a substitute for a Courtnet criminal history report.

Question 3 asks about how many times a client had ever been charged with a number of different kinds of charges. Question 4 asks about how many of the charges resulted in convictions.

Question 5 asks how long the client had been incarcerated in their life. If they have never been incarcerated then skip to Question 9. If the client had been incarcerated then Question 6 asks how long they were incarcerated during the most recent incarceration and for what charges.

Notice that on the list of charges, assault is broken up into two types—assault not related to domestic violence, and assault related to domestic violence.

Question 8 asks whether the client is currently awaiting charges, trial, or sentencing and Question 9 asks for what charges.

Question 10 asks how many days in the past 30 the client was engaged in any kind of illegal activities for profit.

Question 11 and 12 ask for the client's assessment of the seriousness of his or her legal problems.

Question 13 asks for the interviewer ratings of the client's need for legal services or counseling and Question 14 ask for the interviewer comments regarding client's legal issues. These comments will be printed in the final client report.

Notice that on the list of charges assault is broken up into two typesassault not related to domestic violence, and assault related to domestic violence.



Section 7: Family/Social History Information

The first question in this section asks about family history of alcohol use, drug use, and psychiatric problems that did or should have led to treatment.

Question 2 asks about marital status.



The next few questions assess marital status stability and asks about how long they have been in their current marital status, whether they are satisfied with their current marital status, the number of times they have ever been married, divorced, and the number of sexual partners they lived with that they were not married to.

Question 8 asks about the client's current living arrangements. The client is asked how long they have been in these arrangements, whether they are satisfied with these arrangements, whether they live with someone who has a drug or alcohol problem, whether

they have been homeless in the past 30 days, and if they have been homeless where they stayed.





The client is also asked about the number of times they have moved in the past 12 months.

Question 14 asks how many close friends the client has.

Question15 asks about the client's history of emotional, physical, and sexual abuse and sexual

harassment.

Question 16 asks about the number of days the client had serious conflicts with family members and non-familial individuals in the past 30 days.

The client is also asked to assess the seriousness of their family and social problems.

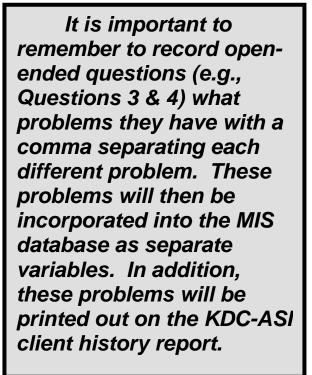
Interviewers are also provided space to assess the seriousness of the client's family and social problems and to provide any relevant comments to this section.

Section 8: Mental Health Information

The first question asks about whether the client has ever been treated as an outpatient for psychological or emotional problems.

Question 2 asks about how many times the client has been hospitalized for psychological or emotional problems.

Question 3 asks about whether they have EVER been prescribed medication for emotional or psychological problems and Question 4 asks about prescription



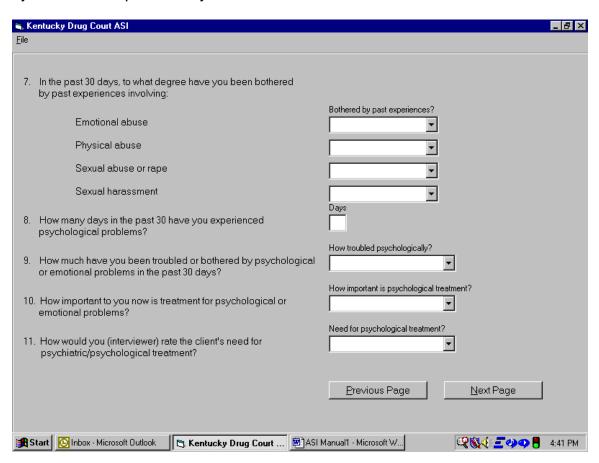


medication for emotional or psychological problems in the past 30 days. If the client has been prescribed medication then the interviewer should ask the client exactly what medications they have been taking. Here it is important to separate each different medication with commas. These will be stored in the database and printed out on the client report.

Question 5 asks whether the client is receiving a pension for a psychiatric disability.

Question 6 assesses lifetime and current mental health symptoms.

Question 7 asks the client to self-report how much they have been bothered by abuse in the past 30 days.



Clients are then asked to assess the seriousness of his or her mental health problems.

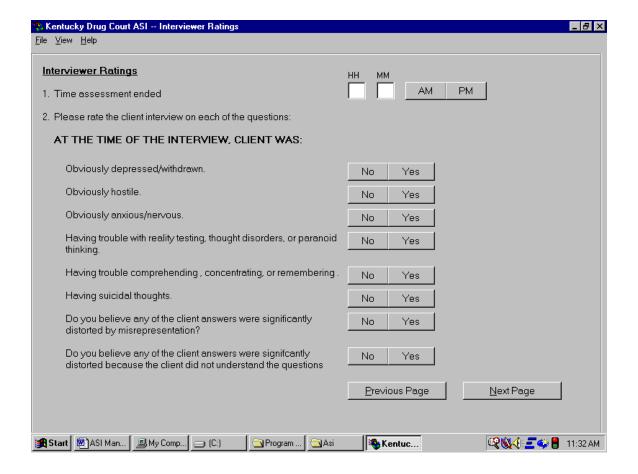
Interviewers are also asked to assess the seriousness of the client mental health status and to provide comments regarding client mental health status. Comments will be printed on the report.

Section 9: Interviewer Ratings

The last section asks for interviewer ratings including client presentation during the interview, client misrepresentation and distortion of

the answers.

In addition, final comments regarding the client and/or the interview are provided in this section. These comments will also be printed in the final client report.

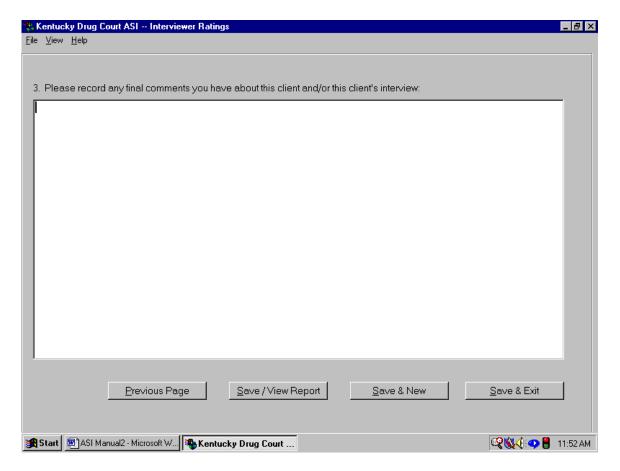




Saving and Opening Client Files

1. Exiting the program

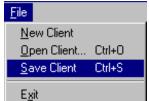
When the KDC-ASI has been completed there are three different options shown on the last screen to end the session:



- **Option 1**. Move cursor to the Save/View Report key and press the left click button on the mouse once. The completed KDC-ASI is now saved and will load the final report for immediate use.
- Option 2. Move the cursor to the Save & New key and press the left click button on the mouse once. This option allows the interviewer to save the completed KDC-ASI and start a new client without completely restarting the program.
- **Option 3**. Move the cursor to the Save & Exit key and press the left click button on the mouse once. The completed KDC-ASI will be saved and you will exit from the program.

2. Saving the Data -

When the KDC-ASI is completed



the data is automatically saved. However, it might be

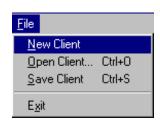


DoeJohnT08-0 5-2000

necessary to save in the middle of an interview. In order to save the data, left click on the file button at the top left of the screen. When you do this, a save option will appear as

shown here. Now simply scroll down and select the save option. The saved data will appear in a folder in the ASI directory on your computer. The folder will be named <u>clients first name</u> then <u>last name</u> followed by the date of the assessment. For example, if the client was John Doe and he received the assessment on 6/13/2000, then his folder would appear as shown here.

3. Create a New Client



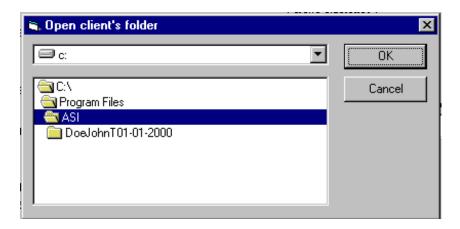
In order to administer a new assessment without exiting the program, select the New Client option. This will clear all of the fields and start a new assessment.

4. Moving from section to section

In order to move from section to section go to the heading View at the top left of the screen. A check mark will appear beside the current section open. Select a new section by moving the cursor and highlighting that section, then left click once. The selected section will appear.

5. Loading a Saved File

When using the KDC-ASI, it might become useful to load a saved file. In order to do this, left click on the file menu and select the open option. This will then prompt the user to select the file he or she wishes to open.



At this point the user should select the client he or she wish to open and left click on the open command. Once the file is opened, the user will see the Identification Information screen and can began to use the assessment from where they left off.

6. Generating the Report

Whenever the data in the KDC-ASI is saved, a report is automatically generated. This report will be located inside the ASI folder in a separate folder named after the particular client. If the client were John Doe from the previous example, then his report would be stored at

C:\Program Files\ASI\Doe_John-6-13-2000\Doe_John-6-13-2000.rtf

KDC-ASI Client Report

The report generated for the KDC-ASI was created to provide a brief narrative summarizing a given client's assessment. It contains a description for each section of the KDC-ASI (as well as providing the Interviewer's Severity Rating). Additionally, the report contains all comments the evaluator added for each section.

It is important to remember that all information recorded in the comment section is confidential and should be reviewed by treatment coordinators and interviewers only.

It is very important to remember that all information recorded in the comments section is confidential and will be reviewed by treatment coordinators and interviewers only.

The report is saved as an RTF file or Rich Text Format. This allows the file to be opened in the word processors Word, Word Perfect, and Microsoft Works. The report and examples can be found attached at the end of the manual.

Additional Help Resources

1. Instrument Hard Copy

Refer to the hard copy for more direction on specific questions or when software is unavailable.

2. Within the program

A Help key is located at the top middle section of the computer screen that offers assistance while using the program.

3. On line Help- http://www.messertechnology.com

Contact this web-site to submit software related questions and suggestions.

4. Administrative Office of the Courts (AOC) Help Desk

Administrative Office of the Courts (AOC) Computer Help Desk 1-800-928-2350 hours are 8:00 AM to 5:30 PM Monday through Friday.

Technical Information

1. Software Requirements

The KDC-ASI was designed in Visual Basic 6.0. It works on machines running Windows 95, 98, 2000 or Windows NT.

2. Report Requirements

The report is generated in rich text format. This allows it to be opened in Microsoft Word, Microsoft Works, Word Perfect, and Notepad.

3. Hardware Requirements

The KDC-ASI requires 5 MB of Hard disk space for installation, 25 MB of disk space for data storage, and 64 MB of Ram.

Frequently Asked Questions

- 1. Q) Why do some questions not allow a response to be entered?
- A) On some questions such as the one shown below an answer is not needed.



Here the client has indicated that no one supports him or her in any way: therefore, the program will not allow an answer to be entered for questions 8a and 8b.

- 2. Q) Is there a report generated if I only finish half of the assessment?
- A) Yes; however, the questions that you do not answer will remain unanswered in the report.
- 3. Q) What do I do if my computer says that I am out of memory?
- A) If this problem occurs while running the assessment, close all other windows programs and try again. If the problem then persists refer to KDC ASI additional help resources section of the manual.
- 4. Q) What does this error mean?



A) The KDC-ASI saves the data in folders based on each client's Social Security Number; therefore, unless a valid social Security Number has been entered, the program will not let the user continue.

Report Examples

Example 1. Pam Brown

Kentucky Drug Court ASI

Pam L. Brown Assessment: Date of Birth: 02-03-1973 Address: 222 Sterling Way

Lexington, KY 40508

Phone1: 859-381-8888 Phone2: 859-253-6666

SSN#: 444-23-8888

DLN#: N/A

This report is based on the statements Pam provided about herself to Connie Reed on 12-05-2000.

Section 1: Locator Information

Pam has lived at her current address for 2 years. The residence is not owned by her or her family.

Phone 1 may also be answered by:

Name Relationship

Mary White aunt Abby Black aunt

Phone 2 may also be answered by:

Relationship Name Vanetta Grey mother

Best mailing address:

same

Additional address #1:

Name: Vanetta Gray Address: 333 Chicago Street Lexington, KY40508

859-253-6666 Phone1:

Relationship: mother

Additional address #2:

Name:

Address: N/A

Phone1: Relationship:

Locator Information Comments:

Section 2: Demographic Information

Pam is a 27 year old black (born in U.S.) female. Pam speaks English and is a follower of no religion. Pam has 6 children of her own.

Gender	D/O/B	Custody	Custody	Status	#Days	Child	Support
Female	05/22/1999	Foster care	Ward of t	the state	08	NO, not	court ordered
Male	02/14/1998	Foster care	Ward of t	the state	08	NO, not	court ordered
Male	03/28/1996	Foster care	Ward of t	the state	08	NO, not	court ordered
Female	05/29/1991	Foster care	Ward of t	the state	08	NO, not	court ordered
Female	02/04/1990	Foster care	Ward of t	the state	08	NO, not	court ordered
Female	05/08/1988	Foster care	Ward of t	the state	08	NO, not	court ordered

^{*}Days represents days spent with child in last month.

Pam has been in a controlled environment in the past 30 days. Specifically she has been in:

Jail	24 days
Alcohol or Drugs Treatment	days
Medical Treatment	days
Psychiatric Treatment	days

Pam was in a controlled environment in the past 30 days, all questions referring to past 30 days refer to the past 30 days on the street (i.e., not including the time spent in the controlled environment).

Demographic Information Comments:

Section 3: Medical Information

Medical History

Pam has been hospitalized 1 times in her life. The most recent of these hospitalizations occurred more than 3 years ago. Pam does not experience chronic health problems. Pam has had STDs in the past. Pam has not had a seizure in her life. Pam currently is not receiving a pension for a physical disability.

Pam has been insured for 0 months of the past 12 months. The health insurance programs she was insured by include: no insurance programs

Current Medical Status

Pam is not currently taking prescription medication. Pam averages smoking 20 cigarettes per day in the last 30 days. Pam sleeps past 11am on most days, this is not due to working hours.

Over the past 30 days Pam has experienced medical problems for 0 days. Pam is not at all troubled by her medical condition. Pam believes that it is not at all important to receive medical attention for these problems.

Medical Information Comments:

Section 4: Education and Employment Information

Education and Employment History

Pam has 11 years of formal education. Pam has received 0 months of technical education. Pam has no professional skills.

Pam does not have a valid drivers license due to the following reasons: . Pam does not have an automobile available for use.

Pam is not currently employed. The last job she had was for 0 years and 6 months and it was a service worker job. She started that job in /1994 and ended this job in /1994 because: Pregnant (had baby).

There is no one currently contributing to Pam's support.

Current Employment Status

Pam has worked 0 days of the past 30. Her usual employment pattern is Unemployed. Pam's net income over that time was \$0 dollars. Pam has also received income from:

No extra income received

At the present time Pam has 0 dependants dependent upon her income.

Pam owes money for:

Client currently has no debts

Over the past 30 days Pam has experienced employment problems 30 times. Pam is extremely bothered by employment problems and believes it is extremely necessary to receive counseling at this time.

Education and Employment Information Comment:

Section 5: Drug and Alcohol Information

Drug and Alcohol Use and Treatment History

Pam reported that her major substance abuse problem is crack. Her longest period of abstinence was for 11 months which occurred 16 months ago.

Pam has experienced 0 D.T.s and 0 O.D.s in her life. Pam has never been treated for alcohol abuse in her lifetime.

Pam has never been treated for drug abuse in her lifetime.

Pam has been in outpatient treatment 0 days in the last 30 days.

Pam has attended AA/NA in her life and she has not attended AA/NA in the past year. Pam has been to AA/NA 0 days in the past 30 days.

Current Drug and Alcohol Use Information

Over the last thirty days Pam spent \$0 on alcohol. She reported that she experienced alcohol problems on 20 days in the past month. Pam is considerably troubled by alcohol problems and believes counseling is extremely necessary.

Over the last thirty days Pam spent \$400 on drugs. She reported that she experienced drug problems on 29 days in the past month. Pam is extremely troubled by drugs and believes counseling is extremely necessary.

Alcohol/Drug Section

Substance	*Age	*30 Days	Years
Alcohol	16	20	11
Marijuana	21	06	07
Cocaine	18	02	09
Crack Cocaine	18	29	09
Multi-substance	18	20	09

^{*}Age represents the age when the client began using the specific substance.

^{*30} Days represents the number of days the client has used the specific substance in the past month, or the number of days used for the 30 days the client was on the street (i.e., not in a controlled environment).

Alcohol/Drug Comments

Alcohol:

states she uses alcohol on a regular basis. She states she does not usually drink to intoxication and uses alcohol less when she is using other drugs

Marijuana:

States she does smoke but not on a regular basis. She states she does take it when offered to her usually, but does not seek it out on her own.

Cocaine:

States she has used, but does not use on a regular basis. She states even if she does use powder, she cooks it up and smokes it

Crack cocaine:

States she began using about 9 years ago and has used pretty consistantly since then except when she was pregnant. She states after her 3 youngest children were born, she would try to stay clean but would always relapse.

Multi-substance:

Crack, marijuana, alcohol

Drug and Alcohol Information Comments:

Section 6: Criminal Justice History Information

Criminal Justice History

Pam has been charged with the following crimes over the course of her lifetime: shoplifting 1 time; parole/probation violation 1 time; drug charges 2 times; assault not related to domestic violence 1 time; contempt of court 1 time. Of these charges 4 resulted in convictions. Pam has been incarcerated for 3-6 months in her lifetime. Pam last incarceration was for less than 1 month. Her last incarceration was for: shoplifting.

Current Criminal Justice Status

At this time Pam is currently on probation or parole and has been in jail 24 days in the last 30 days. Pam is currently awaiting trial for parole/probation violation . Pam reported that she has engaged in illegal activities for profit for 0 days. Pam is extremely troubled by her legal problems and believes counseling is extremely necessary.

Criminal Justice History Information Comments:

Section 7: Family/Social History Section

Family History

Pam was asked if any of her relatives had significant alcohol, drug, or psychiatric problems. This information could indicate a susceptibility to alcohol or drug abuse as well as psychiatric problems.

	Mot	chers Side	
Relative	Alc	Drug	Psy
Grandmother	Yes	No	No
Grandfather	Yes	No	No
Mother	Yes	No	No
Aunt	Yes	Yes	No
Uncle	Yes	No	No
	Fat	chers Side	
Relative	Alc	Drug	Psy
Grandmother	No	No	No
Grandfather	Uncertain	Uncertain	Uncertain
Father	No	No	No
Aunt	Uncertain	Uncertain	Uncertain
Uncle	Uncertain	Uncertain	Uncertain
	Sil	olings	
Relative	Alc	Drug	Psy
Brother #1	No relative	No relative	No relative
Brother #2	No relative	No relative	No relative
Sister #1	No relative	No relative	No relative

Family/Social History

Sister #2

Pam current marital status is never married. Pam has been in this current marital status for 27 years and 09 months. Pam reported she is not satisfied with this marital status. Pam has been married 0 times and divorced 0 times. Pam has lived with 3 sexual partners that she was not married to.

No relative No relative No relative

Pam usual living arrangement is with other family members. She has lived in this situation for 2 years and 0 months. She is satisfied with this living situation. At this time Pam does not live with someone with a drug or alcohol problem. Pam reported she was not homeless in the past 30 days.

Current Family/Social Status

At this time Pam has one close friend.

Pam reported she has been bothered by: no problems in the past 30 days. Pam reported that in the past month she has had serious conflicts with family members 0 days and serious conflicts with other people 0

Pam is not at all troubled by family problems and believes counseling is not at all necessary. She is not at all troubled by social problems and believes counseling is not at all necessary.

Family/Social Information Comments:

Section 8: Mental Health Information

Mental Health History

Pam has not been treated for psychological or emotional problems as an outpatient. She has been hospitalized 0 times for psychiatric or emotional problems. Pam has not been prescribed medication for psychological emotional problems. Pam has: no problems.

Current Mental Health Status

Pam has not been prescribed medication for psychological or emotional problems in the past month.

At this time Pam has experienced the following psychiatric/emotional problems in the past thirty days: no problems. Pam also reported that in the past 30 days she has been bothered by: . Pam is not at all troubled by her psychiatric problems and believes treatment is not at all necessary.

Mental Health Information Comments:

Section 9: Interviewer Ratings and Comments

Medical No real problem **Employment** Extreme problem Alcohol Considerable problem **Drugs** Extreme problem Legal Extreme problem Family/Social Slight problem **Psychiatric** No real problem

This client:

Was not Depressed/withdrawn Obviously hostile Was not Was not Anxious/nervous

Was not having trouble with reality testing, thought disorders, or paranoid

Was not having trouble with comprehension, concentration, or remembering

Was not having suicidal thoughts

I do not believe the client answers were distorted by misrepresentation

some of the answers were distorted because the client did not understand I do not believe

them

FINAL INTERVIEWER COMMENTS:

Example 2. Don Smith

Kentucky Drug Court ASI

Don L. Smith Assessment: Date of Birth: 08-01-1944 Address: 86 Lees Road

Lexington, KY 40504

Phone1: 859-913-0000

Phone2: 859

SSN#: 262-00-0000

DLN#: N/A

This report is based on the statements Don provided about himself to Connie Reed on 08-27-2000.

Section 1: Locator Information

Don has lived at his current address for 1 years. The residence is not owned by him or his family.

Phone 1 may also be answered by:

Name Relationship

Phone 2 may also be answered by:

Name Relationship

Best mailing address:

same

Additional address #1:

Name:

Address: N/A

Phone1:

Relationship:

Additional address #2:

Name:

Address: N/A

Phone1: Relationship:

Locator Information Comments:

Job Supervisor, is Allen Camron

Section 2: Demographic Information

Don is a 56 year old black (born in U.S.) male. Don speaks English and is a follower of the Protestant religion. Don has one child of his own.

Gender	D/O/B	Custody	Custody Status	#Days	Child Support
Female	09/09/1970	Not applicable	Adult	00	NOT APPLICABLE

^{*}Days represents days spent with child in last month.

Don has been in a controlled environment in the past 30 days. Specifically he has been in:

Jail 30 days

Don was in a controlled environment in the past 30 days, all questions referring to past 30 days refer to the past 30 days on the street (i.e., not including the time spent in the controlled environment).

Demographic Information Comments:

Section 3: Medical Information

Medical History

Don has not been hospitalized in his life. Don does not experience chronic health problems. Don has not had STDs in the past. Don has had a seizure in his life. Don currently is not receiving a pension for a physical disability.

Don has been insured for 12 months of the past 12 months. The health insurance programs he was insured by include: employer provided health insurance.

Current Medical Status

Don is not currently taking prescription medication. Don averages smoking 12 cigarettes per day in the last 30 days. Don does not sleep past 11am on most days.

Over the past 30 days Don has experienced medical problems for 20 days. Don is not at all troubled by his medical condition. Don believes that it is moderately important to receive medical attention for these problems.

Medical Information Comments:

Client is having problems with his feet from standing all day on the concrete floor

Section 4: Education and Employment Information

Education and Employment History

Don has 12 years of formal education. Don has received 30 months of technical education. Don has professional skills of: cooking, training horses.

Don has a valid drivers license. Don does have an automobile available for use.

Don is currently employed. This job has lasted for one year and 8 months and is a service worker job. He started this job in 03/1999 and is still working there.

There is no one currently contributing to Don's support.

Current Employment Status

Don has worked 0 days of the past 30. His usual employment pattern is Full-time (35 hrs/week). Don's net income over that time was \$1200 dollars. Don has also received income from:

```
Employment (net income)
```

\$1200

At the present time Don has 0 dependants dependent upon his income.

Don owes money for:

```
*Client currently has no debts*
```

Over the past 30 days Don has experienced employment problems 3 times. Don is extremely bothered by employment problems and believes it is extremely necessary to receive counseling at this time.

Education and Employment Information Comment:

Section 5: Drug and Alcohol Information

Drug and Alcohol Use and Treatment History

Don reported that his major substance abuse problem is marijuana. His longest period of abstinence was for 2 months which occured 6 months ago.

Don has experienced 0 D.T.s and 0 O.D.s in his life. Don has never been treated for alcohol abuse in his lifetime.

Don has never been treated for drug abuse in his lifetime.

Don has been in outpatient treatment 0 days in the last 30 days.

Don has not attended AA/NA in his life and he has not attended AA/NA in the past year. Don has been to AA/NA 0 days in the past 30 days.

Current Drug and Alcohol Use Information

Over the last thirty days Don spent \$0 on alcohol. He reported that he experienced alcohol problems on 0 days in the past month. Don is not at all troubled by alcohol problems and believes counseling is not at all necessary.

Over the last thirty days Don spent \$250 on drugs. He reported that he experienced drug problems on 15 days in the past month. Don is extremely troubled by drugs and believes counseling is extremely necessary.

Alcohol/Drug Section

*Age	*30 Days	Years	
23	17	43	
55	00	01	
	23		

^{*}Age represents the age when the client began using the specific substance.

Alcohol/Drug Comments

Marijuana:

Client states he started smoking since age 23. After a few years he stopped except for occasionally. He has been smoking almost daily for the past 7 years after his wife died. He states his world fell apart and he didn't know how to cope.

Cocaine:

States he used only one time in his life, when he was arrested and hasn't used since.

Drug and Alcohol Information Comments:

^{*30} Days represents the number of days the client has used the specific substance in the past month, or the number of days used for the 30 days the client was on the street (i.e., not in a controlled environment).

Section 6: Criminal Justice History Information

Criminal Justice History

Don has been charged with the following crimes over the course of his lifetime: parole/probation violation 1 time; drug charges 1 time; failure to appear 1 time. Of these charges 2 resulted in convictions. Don has been incarcerated for 1-3 months in his lifetime. Don last incarceration was for : drug charges .

Current Criminal Justice Status

At this time Don is currently on probation or parole and has been in jail 30 days in the last 30 days. Don is currently awaiting trial for parole/probation violation. Don reported that he has engaged in illegal activities for profit for 0 days. Don is extremely troubled by his legal problems and believes counseling is extremely necessary.

Criminal Justice History Information Comments:

Section 7: Family/Social History Section

Family History

Don was asked if any of his relatives had significant alcohol, drug, or psychiatric problems. This information could indicate a susceptibility to alcohol or drug abuse as well as psychiatric problems.

Mothers Side

Relative	Alc	Drug	Psy
Grandmother	No	No	No
Grandfather	No	No	No
Mother	No	No	No
Aunt	No	No	No
Uncle	No	No	No
		Fathers Side	
Relative	Alc	Drug	Psy
Grandmother	No	No	No
Grandfather	No	No	No
Father	No	No	No
Aunt	No	No	No
Uncle	No	No	No
		Siblings	
Relative	Alc	Drug	Psy
Brother #1	No	No	No
Brother #2	No	No	No
Sister #1	No	No	No
Sister #2	No	No	No

Family/Social History

Don current marital status is widowed. Don has been in this current marital status for 7 years and 0 months. Don reported he is not satisfied with this marital status. Don has been married one time and divorced 0 times. Don has lived with 2 sexual partners that he was not married to.

Don usual living arrangement is alone. He has lived in this situation for 07 years and 0 months. He is satisfied with this living situation. At this time Don does not live with someone with a drug or alcohol problem. Don reported he was not homeless in the past 30 days.

Current Family/Social Status

At this time Don has one close friend.

Don reported he has been bothered by: no problems in the past 30 days. Don reported that in the past month he has had serious conflicts with family members 0 days and serious conflicts with other people 0 days.

Don is slightly troubled by family problems and believes counseling is extremely necessary. He is not at all troubled by social problems and believes counseling is slightly necessary.

Family/Social Information Comments:

Section 8: Mental Health Information

Mental Health History

Don has not been treated for psychological or emotional problems as an outpatient. He has been hospitalized 0 times for psychiatric or emotional problems. Don has not been prescribed medication for psychological emotional problems. Don has: no problems.

Current Mental Health Status

Don has not been prescribed medication for psychological or emotional problems in the past month.

At this time Don has experienced the following psychiatric/emotional problems in the past thirty days: no problems. Don also reported that in the past 30 days he has been bothered by: . Don is not at all troubled by his psychiatric problems and believes treatment is not at all necessary.

Mental Health Information Comments:

Section 9: Interviewer Ratings and Comments

MedicalSlight problemEmploymentModerate problemAlcoholNo real problemDrugsConsiderable problemLegalExtreme problemFamily/SocialModerate problemPsychiatricNo real problem

This client:

Was not Depressed/withdrawn
Was not Obviously hostile
Was not Anxious/nervous

Was not having trouble with reality testing, thought disorders, or paranoid

thinking

Was not having trouble with comprehension, concentration, or remembering

Was not having suicidal thoughts

I do not believe the client answers were distorted by misrepresentation

I do not believe some of the answers were distorted because the client did not understand

them

FINAL INTERVIEWER COMMENTS:

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- Ι. Locator Information
- II. **Demographic Information**
- III. **Medical Information**
- IV. **Education and Employment Information**
- V. **Drug and Alcohol Information**
- VI. Criminal Justice History Information
- Family/Social Information VII.
- VIII. Mental Health Information
- IX. Interviewer ratings

Assessment Information

- --- Assessment Date
- --- Assessment Time
- --- Interviewer name
- --- Drug court Location

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- 1. Client name
- 2. Current address
- 3. Time at current residence
- 4. Residence ownership
- 5. Best mailing address
- 6. Telephone numbers
 - a. Best phone number
 - 1. Other phone users
 - b. Alternate number
 - 1. Other phone users
- 7. Work status
- 8. Workplace name
 - a. Workplace phone #
- 9. Work address
- 10. Close relative (locator) (name, phone #, address, relationship to client)
- 11. Other locator (name, phone #, address, relationship to client)
- 12. Interviewer comments on locator information

II. **Demographic Information**

- 1. Birth date
- 2. SSN
- 3. Gender
- 4. Birth country
 - a. Years of US residence
- 5. Mother's birth country
- 6. Father's birth country
- 7. Hispanic or Latino?
- 8. Race

- 9. Current language
- 10. Religion
- 11. Controlled environment history for past month with specifications
- 12. Number of times pregnant
- 13. Number of live births
- 14. Age at first birth
- 15. Number of children
- 16. Children information table
- 17. Required child support payments
- 18. Actual child support payments
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- 20. Interviewer comments on demographic information

III. **Medical Information**

- 1. Times hospitalized
- 2. Last hospitalized
- 3. Chronic health problems
- 4. STD history
- 5. Fit or seizure history
- 6. Prescribed medications
- 7. Pension
- 8. Smoker status
- 9. Cigarette consumption status
- 10. Sleep patterns/ work related sleep patterns
- 11. Health insurance coverage status over previous year
- 12. Health insurance coverage description
- 13. Medical problems in the past 30 days
- 14. Severity of recent medical problems
- 15. Importance of medical treatment
- 16. Interviewer rating for necessity of medical treatment
- 17. Interviewer comments on medical information

IV. **Education and Employment Information**

- 1. Years of completed formal education
- 2. Months of completed training or technical education
- 3. Profession, trade or skill
- 4. Driver's license status and number
- 5. Automobile access
- 6. Employment status
- 7. Employment history
 - a. Time spent at last job
 - b. Time spent at current job
- 8. Job type
- 9. Job description
- 10. Hire date
- 11. Departure date
- 12. Reason for leaving
- 13. Contributors to financial support of client
- 14. Greatest contributor of financial support
- 15. Dependency status
- 16. Usual employment pattern over last year

- 17. Days paid for work in past 30 days
- 18. Income description
- 19. Number of dependents
- 20. Amount owed in child support arrearage
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- 22. Amount owed in restitution
- 23. Amount owed in legal fees
- 24. Amount owed in other debts
- 25. Number of days experiencing employment problems in past 30 days
- 26. Employment problem severity
- 27. Importance of employment counseling to client
- 28. Employment problem severity (Interviewer rating)
- 29. Interviewer comments on education/employment information

Drug and Alcohol Information ٧.

- 1.1 Alcohol history
- 1.2 Marijuana history
- 1.3 Cocaine history
- 1.4 Crack history
- 1.5 Amphetamine history
- 1.6 Barbiturate/sedative/hypnotic/tranquilizer history
- 1.7 Opiate/analgesic/painkiller history
- 1.8 Hallucinogens history
- 1.9 Inhalants history
- 1.10 Heroin history
- 1.11 Illegal Methadone history
- 1.12 Multiple substances history
- 2. Main problem substance
- 3. Last period of voluntary abstinence
- 4. Length of period of abstinence
- 5. Number of DT's/overdoses
- 6. Drug related sleep patterns
- 7. Alcohol abuse treatment
- 8. Number and types of alcohol treatments
- 9. Drug abuse treatment
- 10. Number and types of drug abuse treatments
- 11. Days spent in outpatient treatment over the last 30
- 12. Lifetime AA meeting attendance
- 13. AA attendance over last year
- 14. AA attendance over last 30 days
- 15. Money spent on alcohol and drugs over last 30 days
- 16. Number of days experiencing alcohol and drug problems over the last 30 Alcohol problem severity
- Drug problem severity
- 19. Importance of alcohol treatment
- 20. Importance of drug treatment
- 21. Interviewer rating of need for AT
- 22. Interviewer rating of need for DT
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- 1. Official origin of client in program
- 2. Probation/Parole status
- 3. Criminal charge history
- 4. Resulting convictions
- 5. Length of lifetime incarceration
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- 7. Reason for last incarceration
- 8. Present legal status
- 9. Reason(s) for pending charges
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- 11. Legal problem feelings
- 12. Importance of legal counseling
- 13. Interviewer rating of client's need for legal counsel
- 14. Interviewer comments on criminal justice information

VII. **Family/Social History Information**

- 1. Family history (includes drug and alcohol abuse histories as well as psychological histories for all immediate family)
- 2. Current marital status
- 3. Length of time in current marital status
- 4. Level of satisfaction with current marital status
- Number of times married
- 6. Number of times divorced
- 7. Number of live-in sexual partners
- 8. Usual living arrangements over past year
- 9. Length of time spent in current living arrangements
- 10. Level of satisfaction with current living arrangements
- 11. Presence of addict in home
- 12. Homelessness history over last 30 days
- 13. Places stayed during homelessness
- 14. Number of places lived in the last year
- 15. Number of close friends
- 16. History of emotional/physical/sexual abuse and sexual harassment
- 17. Number of days with serious conflicts with family and friends in past 30
- 18. Severity of family problems over last 30 days
- 19. Severity of social problems over last 30 days
- 20. Importance of family counseling
- 21. Importance of social counseling
- 22. Interviewer rating of client's need for family/social counseling
- 23. Interviewer comments on family/social information

VIII. **Mental Health Information**

- 1. Outpatient treatment history
- 2. Number of times hospitalized for psychological treatment
- 3. Medications prescribed for psychological/emotional problems
- 4. Prescription history over last 30 days
- 5. Psychiatric pension status
- 6. Significant periods of psychological disorder
- 7. Level client has been affected by past abuse over last 30 days

- 8. Number of days experiencing psychological problems in past 30
- 9. Level of psychological trouble
- 10. Importance of psychological treatment
- 11. Interviewer rating of client's need for psychological treatment 12. Interviewer comments on mental health information

Interviewer Ratings IX.

- 1. Time assessment ended
- 2. Interviewer ratings
- 3. Final comments